

# § 10 Completions

## § 10.1 Topologies and completions.

$G =$  topological abelian group

$$= \text{top. space } G + \text{cont. gp str. } G \times G \xrightarrow{\text{mult}} G \text{ \& } G \xrightarrow{\text{inv}} G$$

$x, y \mapsto x+y \qquad x \mapsto -x$

(a topological space  $X$  is called Hausdorff, if  $\forall$   
 $x_1, x_2 \in X \exists U_i$  s.t.  $U_i \cap U_j = \emptyset$ .)

Fact 1)  $G = \text{Hausdorff} \Leftrightarrow \{0\}$  is closed in  $G$ ,

$\Downarrow \qquad \Updownarrow$   
diagonal closed

2) the topology of  $G$  is uniquely determined by the neighborhoods of  $0$  in  $G$ .

$$H_g := \bigcap_{U \ni g: \text{open}} U \qquad H := H_0$$

Fact 1)  $H_g = g + H$

2)  $\forall h \in H \Rightarrow H = h + H$

3)  $H = -H$

$$\text{Pf: } 1). H_g = \bigcap_{V \ni 0: \text{open}} (g + V) = g + \bigcap_{V \ni 0: \text{open}} V = g + H$$

所有开集是平移得来的。

$$2). H = \bigcap_{V \ni 0: \text{open}} V \supseteq \left( \bigcap_{V \ni 0: \text{open}} V \right) \cap \left( \bigcap_{\substack{V \ni 0 \\ V \ni h}: \text{open}} V \right)$$

$$= \bigcap_{V \ni h: \text{open}} V = H_h = h + H$$

$$3). H = \bigcap_{V \ni 0: \text{open}} (-V) = - \bigcap_{V \ni 0: \text{open}} V = -H$$

Lemma 6.1.  $H := \bigcap_{U \ni 0 \text{ open}} U$

i)  $H < G$

ii)  $H = \overline{\{0\}}$

iii)  $G/H = \text{Hausdorff}$ .

iv)  $G = \text{Hausdorff} \Leftrightarrow H = 0$

Pf i)  $h_1 - h_2 \stackrel{(3)}{\in} h_1 + H \stackrel{(2)}{=} H$

ii)  $x \in \overline{\{0\}} \Leftrightarrow (0 \in U^c \stackrel{U: \text{open}}{\Rightarrow} x \in U^c)$

$\Leftrightarrow (x \in U \stackrel{U: \text{open}}{\Rightarrow} 0 \in U)$

②

$$\Leftrightarrow 0 \in H_x \stackrel{(1)}{=} x+H$$

$$\Leftrightarrow x \in -H \stackrel{(3)}{=} H$$

$$\text{iii) } G/H = \text{Hausdorff} \Leftrightarrow \{H\} \in G/H \text{ is closed}$$

$$\Leftrightarrow H \subseteq G \text{ is closed}$$

$$\text{iv) } G = \text{Hausdorff} \Leftrightarrow \{0\} = \text{closed}$$

$$\Leftrightarrow \{0\} = \overline{\{0\}} = H$$

$$\Leftrightarrow H = 0. \quad \square$$

## Cauchy sequence in $G$

$$C = \left\{ (x_1, x_2, \dots) \mid x_i \in G, \forall U \ni 0 \text{ open. } \exists N \text{ s.t. } x_i - x_j \in U \forall i, j > N \right\}$$

$$(x_n) \sim (y_n) \stackrel{\text{def}}{\Leftrightarrow} x_n - y_n \rightarrow 0 \text{ in } G$$

↑ i.e.  $\forall U \ni 0. \exists N \text{ s.t.}$

$$x_n - y_n \in U \forall n > N$$

## Completion of $G$

$$\hat{G} := C / \sim \quad [(x_n)] + [(y_n)] := [(x_n + y_n)]$$

$$\phi: G \rightarrow \hat{G} \quad g \mapsto [(g, g, \dots)]$$

e.g.  $\mathbb{Q} \hookrightarrow \mathbb{R}$ .

Fact: 1)  $\ker \phi = \bigcap_{U \ni 0 \text{ open}} U$

2)  $\phi = \bar{\cdot} \Leftrightarrow G = \text{Hausdorff}$ .

pf:  $x \in \ker \phi \Leftrightarrow (x, x, \dots) \sim (0, 0, \dots)$   
 $\Leftrightarrow x \rightarrow 0 \text{ in } G$   
 $\Leftrightarrow x \in U, \forall U \ni 0 \text{ open}$

•  $\forall f: G \rightarrow H \text{ continuous} \Rightarrow \hat{f}: \hat{G} \rightarrow \hat{H}$

•  $G \xrightarrow{f} H \xrightarrow{g} K \Rightarrow \hat{G} \xrightarrow{\hat{f}} \hat{H} \xrightarrow{\hat{g}} \hat{K}$

Let  $G$  be a top. sp with system of neighborhoods consisting of subgrps

$$G = G_0 > G_1 > G_2 > \dots$$

e.g.  $p$ -adic topology on  $\mathbb{Z}$ :

$$\mathbb{Z} \supseteq p\mathbb{Z} \supseteq p^2\mathbb{Z} \supseteq \dots$$



Fact:  $G_n$  are both open and closed  $\forall n$ .

Pf: open  $\checkmark$

closed:  $G_n = \text{open} \Rightarrow g + G_n = \text{open} \forall g \in G_n$

$$\Rightarrow \bigcup_{g \in G_n} (g + G_n) = \text{open}$$

$$\Rightarrow G_n = G \setminus \bigcup_{g \in G_n} (g + G_n) \quad \text{closed.}$$

Inverse limits

Inverse system

$$\dots A_{n+1} \xrightarrow{\theta_{n+1}} A_n \xrightarrow{\theta_n} A_{n-1} \rightarrow \dots \xrightarrow{\theta_2} A_1 \xrightarrow{\theta_1} A_0$$

$$\varprojlim_n A_n := \left\{ (a_n) \in \prod_{n=0}^{\infty} A_n \mid \theta_{n+1}(a_{n+1}) = a_n \right\}$$

$$(a_n) + (b_n) := (a_n + b_n)$$

Lemma (purely algebraic definition of completion)

$$\hat{G} \cong \varprojlim G/G_n$$

In particular,  $\varprojlim G/G_n$  doesn't depend on the choice of  $\{G_n\}$ .

Pf:  $\forall [(x_n)] \in \hat{G}, \forall n \geq 0$

(5)

$$\xi_n := x_n + G_n \quad v \gg 0.$$

$$\Rightarrow \theta_{n+1}(\xi_{n+1}) = \xi_n \quad \theta_{n+1}: G/G_{n+1} \rightarrow G/G_n$$

$$\Rightarrow (\xi_n)_n \in \varprojlim G/G_n$$

$$[(x_v)] \in \ker \Leftrightarrow (\xi_n)_n = 0$$

$$\Leftrightarrow \forall n, x_n \in G_n \quad \forall v \gg 0$$

$$\Leftrightarrow (x_1, x_2, \dots) \sim (0, 0, \dots)$$

$$\Leftrightarrow [(x_v)] = 0.$$

$$\forall (a_v) \in \varprojlim G/G_n$$

$$\forall x_v \in a_v \quad \forall v \Rightarrow x_{v+1} - x_v \in G_v$$

$$\Rightarrow x_{v+w} - x_v = (x_{v+w} - x_{v+w-1}) + (x_{v+w-1} - x_{v+w-2}) \\ + \dots + (x_{v+1} - x_v) \in G_v$$

$$\Rightarrow [(x_v)] \in \hat{G} \quad \text{with} \quad [(x_v)] \mapsto (a_v).$$

$\dots \rightarrow A_2 \rightarrow A_1 \rightarrow A_0$  is called surjective system

eg.  $\dots \rightarrow G/G_2 \rightarrow G/G_1 \rightarrow G/G_0$ .

⑥

## exact sequence of inverse systems

$$\begin{array}{ccccccc}
 & \vdots & & \vdots & & \vdots & \\
 & \downarrow & & \downarrow & & \downarrow & \\
 0 \rightarrow & A_2 & \rightarrow & B_2 & \rightarrow & C_2 & \rightarrow 0 \quad \text{exact} \\
 & \downarrow & \simeq & \downarrow & \simeq & \downarrow & \\
 0 \rightarrow & A_1 & \rightarrow & B_1 & \rightarrow & C_1 & \rightarrow 0 \quad \text{exact} \\
 & \downarrow & \simeq & \downarrow & \simeq & \downarrow & \\
 0 \rightarrow & A_0 & \rightarrow & B_0 & \rightarrow & C_0 & \rightarrow 0 \quad \text{exact}
 \end{array}$$

$$0 \rightarrow \{A_n\} \rightarrow \{B_n\} \rightarrow \{C_n\} \rightarrow 0 \quad \text{exact.}$$

$\Rightarrow$  homomorphisms

$$(*) \quad 0 \rightarrow \varprojlim A_n \rightarrow \varprojlim B_n \rightarrow \varprojlim C_n \rightarrow 0$$

(not always exact!)

Prop 10.2 : 1)  $\varprojlim$  is left exact. i.e.

$$0 \rightarrow \varprojlim A_n \rightarrow \varprojlim B_n \rightarrow \varprojlim C_n \quad \text{exact}$$

2) If  $\{A_n\}$  is a surjective system, then

(\*) is exact.

(7)

$$\text{Pf: } A := \prod_{n=0}^{\infty} A_n, \quad d^A: A \rightarrow A. \quad (a_n)_n \mapsto (a_n - \partial_{n+1}(a_{n+1}))_n$$

$$\Rightarrow \varprojlim A_n = \ker d^A$$

$$\begin{array}{ccccccc} 0 & \rightarrow & A & \rightarrow & B & \rightarrow & C \rightarrow 0 & \text{exact} \\ & & \downarrow d^A & & \downarrow d^B & & \downarrow d^C & \\ 0 & \rightarrow & A & \rightarrow & B & \rightarrow & C \rightarrow 0 & \text{exact} \end{array}$$

$$\Rightarrow 0 \rightarrow \varprojlim A_n \rightarrow \varprojlim B_n \rightarrow \varprojlim C_n \rightarrow \text{Coker } d^A$$

$$A_{n+1} \twoheadrightarrow A_n \quad \forall n \quad \Rightarrow \quad d^A = \text{surj}$$

$$\Rightarrow \text{Coker } d^A \Rightarrow \checkmark$$

$\forall (a_n)_n \in A \quad x_0 := 0 \quad \text{find } x_n \text{ inductively}$

$$\partial_{n+1}(x_n) = x_{n+1} - a_{n+1}$$

$$\Rightarrow d^A((x_n)) = (a_n)$$

Cor 10.3 .  $0 \rightarrow G' \rightarrow G \xrightarrow{p} G'' \rightarrow 0$  exact

topologies  $G \rightsquigarrow \{G_n\}$

induced top.  $G' \rightsquigarrow G' \cap G_n$

$G'' \rightsquigarrow pG_n$

Then  $0 \rightarrow \widehat{G}' \rightarrow \widehat{G} \rightarrow \widehat{G}'' \rightarrow 0$  exact.

Pf:  $0 \rightarrow \frac{G}{G' \cap G_n} \rightarrow \frac{G}{G_n} \rightarrow \frac{G''}{pG_n} \rightarrow 0$  exact  $\square$

Cor 10.4 i)  $\widehat{G}_n$  is a subgroup of  $\widehat{G}$

ii)  $\widehat{G}/\widehat{G}_n \cong G/G_n$

Pf:  $0 \rightarrow G_n \rightarrow G \rightarrow G/G_n \rightarrow 0$  exact

$\Rightarrow 0 \rightarrow \widehat{G}_n \rightarrow \widehat{G} \rightarrow G/G_n \rightarrow 0$  exact  $\square$

Prop 10.5  $\widehat{\widehat{G}} \cong \widehat{G}$

Pf:  $\widehat{\widehat{G}} = \varprojlim \widehat{G}/\widehat{G}_n = \varprojlim G/G_n = \widehat{G}$   $\square$

Def:  $G$  is called complete if  $G \xrightarrow{\sim} \hat{G}$ .

- completion of  $G$  is complete.
- complete  $\Rightarrow$  hausdorff.

$\pi$ -adic topology:

- $A = \text{ring}$ ,  $\pi \triangleleft A$   $G = A$ ,  $G_n := \pi^n$

$\hookrightarrow$   $\pi$ -adic topology on  $A$  (defined by  $G_n$ )

$\hookrightarrow$   $A$  is a topological ring (i.e. ring operators are cont.)

$\hookrightarrow$  completion  $\hat{A} = \text{topological ring}$ .

$\hookrightarrow$   $\phi: A \rightarrow \hat{A}$   $\ker \phi = \bigcap_n \pi^n$

- $M = A\text{-module}$ .  $G = M$ ,  $G_n = \pi^n M$

$\hookrightarrow$   $\pi$ -topology on  $M$ . (defined by  $G_n$ )

$\hookrightarrow$  completion  $\hat{M} = \text{topological } \hat{A}\text{-module}$

(i.e.  $\hat{A} \times \hat{M} \rightarrow \hat{M}$  cont.)

$$\bullet \forall f: M \rightarrow N$$

$$f(\alpha^n M) = \alpha^n f(M) \subseteq \alpha^n N$$

$\Rightarrow f$  is continuous

$$\Rightarrow \hat{f}: \hat{M} \rightarrow \hat{N}$$

## §10.2 Filtrations

$M = A$ -module.

- filtration of  $M$

$$M = M_0 \supseteq M_1 \supseteq M_2 \supseteq \dots \quad (M_i \text{ submodules})$$

denote by  $(M_n)$

- $\alpha$ -filtration, if  $\alpha M_n \subseteq M_{n+1} \quad \forall n$
- stable  $\alpha$ -filtration, if it is  $\alpha$ -filtration, and

$$\alpha M_n = M_{n+1} \quad n \gg 0.$$

e.g.  $(\alpha^n M)_n$  is a stable  $\alpha$ -filtration.

Lem 10.6 any two stable  $\alpha$ -filtrations has bounded difference.

i.e.  $M_n \subseteq M \supseteq M'_n$  stable,

$$\Rightarrow \exists n_0 \in \mathbb{N} \text{ s.t. } \begin{cases} M_{n+n_0} \subseteq M'_n \\ M'_{n+n_0} \subseteq M_n \end{cases}$$

⑫ In particular, induce the same top. on  $M$ . ( $\alpha$ -topology)



Pf: WMA:  $M'_n = \mathfrak{A}^n M$ .

$\exists n_0$  s.t.  $\mathfrak{A} M_n = M_{n+1} \quad \forall n \geq n_0$

$$M'_{n+n_0} = \mathfrak{A}^{n+n_0} M \subseteq \mathfrak{A}^n M \subseteq M_n$$

$$M_{n+n_0} = \mathfrak{A}^n M_{n_0} \subseteq \mathfrak{A}^n M = M'_n$$

□

## § 10.3 graded rings and modules

graded ring  $A$  :  $A = \bigoplus_{n=0}^{\infty} A_n$  ring subgroup satisfying

$$A_m \cdot A_n \subseteq A_{m+n} \quad \forall m, n \geq 0.$$

Fact : 1)  $A_0 \subseteq A$  subring

2)  $A_+ := \bigoplus_{n=1}^{\infty} A_n$  ideal of  $A$ .

graded  $A$ -module  $M$  :  $M = \bigoplus_{n=0}^{\infty} M_n$  A-module subgroups satisfying

$$A_m M_n \subseteq M_{m+n}$$

Fact :  $M_n = A_0$ -module

homogeneous element of degree  $n \stackrel{\text{def}}{\iff} x \in M_n$ .

$$\forall y \in M \Rightarrow y = \sum_{n=0}^{\infty} y_n, \quad y_n \in M_n$$

$\hookrightarrow$  homogeneous components of  $y$

homomorphism of graded  $A$ -module =  $A$ -mod. hom.

$$f: M \rightarrow N \text{ s.t. } f(M_n) \subseteq N_n \quad \forall n \geq 0.$$

Prop 10.7  $A =$  graded ring. TFAE.

i)  $A =$  noetherian

ii)  $A_0 =$  noetherian &  $A =$  f.g.  $A_0$ -alg.

Pf: ii)  $\Rightarrow$  i) clear (Hilbert's basis thm (7.6))

i)  $\Rightarrow$  ii)  $A_0 \cong A/A_+ \Rightarrow A_0 =$  noeth.

$A_+ \triangleleft A \Rightarrow$  f.g.  $\Rightarrow \exists x_1, \dots, x_s \in A_+$  s.t.

$$A_+ = \sum_{i=1}^s A \cdot x_i \quad (\text{WMA: } x_i \text{ homog.})$$

$$A' := A_0[x_1, \dots, x_s] \subseteq A.$$

We show  $A_n \subseteq A'$  inductively:

$n=0$   $\checkmark$  assume  $A_{n-1} \subseteq A'$ .

$$\forall y \in A_n \Rightarrow y = \sum_{i=1}^s a_i x_i \quad \deg a_i = n - \deg x_i$$

$$\Rightarrow y \in \sum_{i=1}^s A' \cdot x_i \subseteq A'$$

$A = \text{ring (not graded)}$ .  $\mathfrak{a} \triangleleft A$

$$\Rightarrow \text{graded ring: } A^* = \bigoplus_{n=0}^{\infty} \mathfrak{a}^n$$

$$A \subseteq A^* \subseteq A[x]$$

$M = A\text{-module}$  with  $\mathfrak{a}$ -filtration  $M_n$ .

$$\Rightarrow \text{graded } A^*\text{-module: } M^* = \bigoplus_{n=0}^{\infty} M_n$$

Fact:  $A = \text{noeth.} \Rightarrow A^* = \text{noeth.}$

Lem 10.8:  $A = \text{noeth.}$ ,  $M = \text{f.g. } A\text{-module}$ .  $(M_n) = \mathfrak{a}\text{-filtration}$

TFAE:

i)  $M^* = \text{f.g. } A^*\text{-mod.}$

ii)  $(M_n) = \text{stable.}$

Pf:  $M_n = \text{f.g. } A\text{-mod} \Rightarrow Q_n := \bigoplus_{r=0}^n M_r = \text{f.g. } A\text{-mod}$

$$\Rightarrow M_n^* = A^* Q_n = \left( \bigoplus_{r=0}^n M_r \right) \oplus \left( \bigoplus_{r=1}^{\infty} \mathfrak{a}^r M_n \right)$$

f.g.  $A^*\text{-mod}$

•  $M_1^* \subseteq M_2^* \subseteq \dots \subseteq M^*$

•  $M^* = \bigcup_{i=1}^{\infty} M_i^*$

$M^* = \text{f.g. } A^*\text{-mod} \Leftrightarrow \{ M_i^* \} \text{ stop}$

$$\Leftrightarrow M^* = M_{n_0}^* \quad \text{for some } n_0$$

$$\Leftrightarrow M_{n_0+r} = \mathfrak{a}^r M_{n_0} \quad \forall r \geq 0$$

$$\Leftrightarrow \text{stable}$$

Prop 10.9 (Artin-Rees Lemma)  $A = \text{noeth.}$   $\mathfrak{a} \triangleleft A$ ,  $M = \text{f.g. } A\text{-mod.}$

$(M_n) = \text{stable } \mathfrak{a}\text{-fil. of } M.$

$M' \subseteq M \text{ submod} \Rightarrow (M' \cap M_n) = \text{stable } \mathfrak{a}\text{-fil. of } M'$

*pf:*  $\mathfrak{a}(M' \cap M_n) \subseteq \mathfrak{a}M' \cap \mathfrak{a}M_n \subseteq M' \cap M_{n+1} \Rightarrow \mathfrak{a}\text{-fil.}$

$(M_n) = \text{stable} \Rightarrow M^* = \text{f.g. } A^*\text{-mod}$

$\Rightarrow M'^* = \text{f.g. } A^*\text{-mod} \quad (A^* = \text{noeth.})$

$\Rightarrow (M' \cap M_n) = \text{stable.} \quad \square$

Cor 10.10 (usual version)  $\exists k \text{ s.t. } \mathfrak{a}^n M \cap M' = \mathfrak{a}^{n-k} ((\mathfrak{a}^k M) \cap M') \quad \forall n \geq k.$

*pf:*  $M_n := \mathfrak{a}^n M \quad \square$

Thm 10.11 (another version):  $A = \text{noeth.}$   $\mathfrak{a} \triangleleft A$ ,  $M = \text{f.g.}$   $M' \subseteq M \text{ submod.}$


$\Rightarrow \mathfrak{a}^n M' \text{ \& } \mathfrak{a}^n M \cap M' \text{ has bounded difference}$

$\Rightarrow \mathfrak{a}\text{-top. of } M' = \text{induced top by } \mathfrak{a}\text{-top of } M.$

Prop 10.2 (Exactness of adic completions)  $A = \text{noeth. } \mathfrak{a} \triangleleft A$

$M = \text{f.g. } A\text{-mod. } 0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0 \text{ exact. Then}$

$$0 \rightarrow \hat{M}' \rightarrow \hat{M} \rightarrow \hat{M}'' \rightarrow 0 \text{ exact}$$


  
 $\mathfrak{a}\text{-adic completions}$

**pf:** (10.11) + (10.3) □

$M \mapsto$  two  $\hat{A}$ -module

•  $M \otimes_A \hat{A} \quad \& \quad \hat{M} \quad (\text{relation?})$

natural  $\hat{A}$ -hom:

$$M \rightarrow \hat{M} \quad m \mapsto (m, m, \dots)$$

and

$$\hat{A} \otimes_A M \rightarrow \hat{A} \otimes_A \hat{M} \rightarrow \hat{A} \otimes_{\hat{A}} \hat{M} \xrightarrow{\cong} \hat{M}$$

$$(a_1, a_2, \dots) \otimes_A m \quad \longmapsto \quad (a_m, a_m, \dots)$$

prop 10.13 :  $A = \text{ring. } M = \text{f.g.}$

i)  $\hat{A} \otimes_A M \rightarrow \hat{M}$

ii)  $A = \text{noeth.} \Rightarrow \hat{A} \otimes_A M \xrightarrow{\cong} \hat{M}$

$$\text{Pf: } 0 \rightarrow N \rightarrow A^{\oplus n} \rightarrow M \rightarrow 0 \quad \text{exact}$$

$$\quad \quad \quad \parallel$$

$$\quad \quad \quad F$$

$$\begin{array}{ccccccc} \hat{A} \otimes N & \rightarrow & \hat{A} \otimes_A F & \rightarrow & \hat{A} \otimes_A M & \rightarrow & 0 \\ \downarrow \varphi_N & & \downarrow \cong & & \downarrow \varphi_M & & \\ \Rightarrow 0 & \rightarrow & \hat{N} & \rightarrow & \hat{F} & \xrightarrow{\pi} & \hat{M} \rightarrow 0 \end{array} \quad (10.3)$$

$$\pi = \text{surj} \Rightarrow \varphi_M = \text{surj.} \Rightarrow \varphi_N = \text{surj.} \Rightarrow \varphi_M = \text{inj.} \quad \square$$

Prop 10.14.  $A = \text{noeth.}$   $\mathfrak{A} \triangleleft A \Rightarrow \hat{A} = \text{flat } A\text{-alg.}$

Pf. (10.12) + (10.13) + Chapter 2 □

Remk: •  $M \mapsto \hat{M}$  is NOT exact for non-f.g. modules!  
 • two functors coincide on f.g. modules.

Prop 10.15 (elementary properties of  $\hat{A}$ ).  $A = \text{noeth.}$   $\mathfrak{A} \triangleleft A$ .

$$\text{i) } \hat{\mathfrak{A}} = \hat{A} \mathfrak{A} \cong \hat{A} \otimes_A \mathfrak{A}$$

$$\text{ii) } \widehat{\mathfrak{A}^n} = (\hat{\mathfrak{A}})^n$$

$$\text{iii) } \mathfrak{A}^n / \mathfrak{A}^{n+1} \cong \hat{\mathfrak{A}}^n / \hat{\mathfrak{A}}^{n+1}$$

$$\text{iv) } \hat{\mathfrak{A}} \subseteq \text{Rad}(\hat{A}) \quad (\text{Jacobson radical})$$

pf: i).  $A = \text{noeth.} \Rightarrow \mathfrak{a} = \text{f.g.} \stackrel{(10.15)}{\Rightarrow} \hat{A} \otimes_A \mathfrak{a} \xrightarrow{\sim} \hat{\mathfrak{a}}$   
 $\searrow \hat{A} \otimes_A \mathfrak{a} \xrightarrow{\sim} \hat{\mathfrak{a}}$

ii).  $\hat{\mathfrak{a}}^n \stackrel{(i)}{=} \hat{A} \mathfrak{a}^n \stackrel{1.18}{=} (\hat{A} \mathfrak{a})^n \stackrel{(i)}{=} (\hat{\mathfrak{a}})^n$

iii). ii)  $\stackrel{(10.4)}{\Rightarrow} \hat{A}/\hat{\mathfrak{a}}^n \cong A/\mathfrak{a}^n$   
 $\begin{array}{ccc} \uparrow \hat{\varphi}_{n+1} & \uparrow \varphi_{n+1} & \Rightarrow \ker \hat{\varphi}_{n+1} \cong \ker \varphi_{n+1} \\ \hat{A}/\hat{\mathfrak{a}}^{n+1} \cong A/\mathfrak{a}^{n+1} & \text{SII} & \hat{\mathfrak{a}}^n/\hat{\mathfrak{a}}^{n+1} \cong \mathfrak{a}^n/\mathfrak{a}^{n+1} \end{array}$

iv).  $\forall x \in \hat{\mathfrak{a}} \Rightarrow (1-x)^{-1} = 1+x+x^2+\dots \in \hat{A} \quad \forall x \in \hat{\mathfrak{a}}$   
 $\stackrel{(1.9)}{\Rightarrow} \hat{\mathfrak{a}} \subseteq \text{Rad}(\hat{A})$

Prop 10.16  $(A, \mathfrak{m}) = \text{noeth.} + \text{local}$ .  $\hat{A} = \mathfrak{m}$ -adic completion.

$\Rightarrow (\hat{A}, \hat{\mathfrak{m}}) = \text{local}$

pf:  $\hat{A}/\hat{\mathfrak{m}} \cong A/\mathfrak{m} \Rightarrow \hat{\mathfrak{m}} = \text{maximal}$

$\hat{\mathfrak{m}} \subseteq \text{Rad}(\hat{A}) \Rightarrow \hat{\mathfrak{m}} = \text{unique maximal ideal.} \quad \square$

取完备化丢失多少?

Thm 10.17 (Krull's thm)  $A = \text{noeth.}$   $\mathfrak{a} = \text{ideal}$ ,  $M = \text{f.g.}$

$\ker(M \rightarrow \hat{M}) = \{x \in M \mid (1+\alpha)x = 0 \text{ for some } \alpha \in \mathfrak{a}\}$



$$\text{Pf: } E := \ker(M \rightarrow \hat{M}) = \bigcap_{n=1}^{\infty} \mathfrak{a}^n M$$

$$\begin{aligned} \text{RHS} \subseteq \text{LHS: } \forall m \in \text{RHS} &\Rightarrow m = -\alpha m \text{ for some } \alpha \in \mathfrak{a} \\ &\Rightarrow m = (-\alpha)^n \cdot m \in \mathfrak{a}^n M \quad \forall n \\ &\Rightarrow m \in \text{LHS} \end{aligned}$$

$$\text{LHS} \subseteq \text{RHS: } \text{restr. top on } E = \text{trivial} \Rightarrow \mathfrak{a}E = E \quad \left\{ \begin{array}{l} E \subseteq M \Rightarrow E = f \cdot g \end{array} \right.$$

$$\stackrel{2.5}{\Rightarrow} \exists \alpha \in \mathfrak{a} \text{ s.t. } (1-\alpha)E = 0.$$

□

$$\text{Rmk: 1) } \mathfrak{S} := 1 + \mathfrak{a}$$

$$(10.17) \Rightarrow \ker(A \rightarrow \hat{A}) = \ker(A \rightarrow \mathfrak{S}^{-1}A)$$

$$\Rightarrow \mathfrak{S}^{-1}A \hookrightarrow \hat{A} \text{ inj.}$$

2) Krull's thm false, if  $A \neq \text{noeth.}$

$$A = \text{ring of } C^\infty \text{ functions on } \mathbb{R}.$$

$$\mathfrak{a} = \{ f \in A \mid f(0) = 0 \} \triangleleft A$$

$$\ker(A \rightarrow \hat{A}) = \bigcap_{n=1}^{\infty} \mathfrak{a}^n = \{ f \in A \mid 0 = f(0) = f'(0) = \dots \}$$

$$f \in \ker(A \rightarrow S^{-1}A) \Leftrightarrow (H_d)f = 0$$

$$\Leftrightarrow f = 0 \text{ in some neighborhood of } 0$$

$$e^{-\frac{1}{x^2}} \in \ker(A - \hat{A}) \setminus \ker(A \rightarrow S^{-1}A)$$

$$\Rightarrow \text{Krull's fails for } A.$$

Cor 10.18  $A = \text{noeth. domain}$ .  $\mathfrak{A} \triangleleft A$ .  $\#^{(1)}$ . Then

$$\bigcap \mathfrak{A}^n = 0.$$

*Pf:*  $1 + \mathfrak{A}$  contains no zero-divisors □

Cor 10.19.  $A = \text{noeth.}$   $\mathfrak{A} \subseteq \text{Rad}(A)$ .  $M = \text{f.g.}$  Then

$$\bigcap \mathfrak{A}^n M = 0$$

(the  $\mathfrak{A}$ -topology of  $M$  is Hausdorff.)

*Pf:* (1.9)  $\Rightarrow 1 + \mathfrak{A} \in A^\times$  □

Cor 10.20.  $(A, \mathfrak{m}) = \text{noeth. local}$ .  $M = \text{f.g.}$  Then

$\mathfrak{m}$ -topology of  $M$  is Hausdorff.

② In particular,  $\mathfrak{m}$ -top. of  $A$  is Hausdorff.

$$\text{Fact: } \bigcap_{\substack{\mathfrak{q} \\ \mathfrak{q} = \mathfrak{m}\text{-primary}}} \mathfrak{q} = \bigcap_{\substack{\mathfrak{q} \\ \mathfrak{m}^n \subseteq \mathfrak{q} \subseteq \mathfrak{m}}} \mathfrak{q} = \bigcap \mathfrak{m}^n = \ker(A \rightarrow \hat{A}) = 0$$

Cor 10.21.  $A = \text{noeth.}$   $\mathfrak{P} \triangleleft A = \text{prime.}$  Then

$$\ker(A \rightarrow A_{\mathfrak{P}}) = \bigcap_{\mathfrak{q} = \mathfrak{P}\text{-primary}} \mathfrak{q}$$

$$\text{Pf: } \bigcap_{\substack{\mathfrak{q}' \\ \mathfrak{q}' = \mathfrak{P}A_{\mathfrak{P}}\text{-primary}}} \mathfrak{q}' = \ker(A_{\mathfrak{P}} \rightarrow \hat{A}_{\mathfrak{P}}) = 0$$

$$\Rightarrow \ker(A \xrightarrow{\pi} A_{\mathfrak{P}}) = \pi^{-1}(0)$$

$$= \pi^{-1} \left( \bigcap_{\substack{\mathfrak{q}' \\ \mathfrak{q}' = \mathfrak{P}A_{\mathfrak{P}}\text{-primary}}} \mathfrak{q}' \right)$$

$$= \bigcap_{\substack{\mathfrak{q} \\ \mathfrak{q} = \mathfrak{P}\text{-primary}}} \mathfrak{q}$$

□

§ 10.4 the associated graded ring.

$A = \text{ring}, \mathfrak{a} \triangleleft A. \Rightarrow \text{graded ring}$

$$G(A) = G_{\mathfrak{a}}(A) := \bigoplus_{n=0}^{\infty} \mathfrak{a}^n / \mathfrak{a}^{n+1} \quad (\mathfrak{a}^0 := A)$$

$$\overline{a_{n_1}} \cdot \overline{a_{n_2}} := \overline{a_{n_1} a_{n_2}} \quad (\text{well-defined})$$

$M = A\text{-module}, (M_n) = \mathfrak{a}\text{-filtration} \Rightarrow \text{graded } G(A)\text{-module} :$

$$G(M) = \bigoplus_{n=0}^{\infty} M_n / M_{n+1}$$

$$\overline{a_{n_1}} \cdot \overline{m_{n_2}} := \overline{a_{n_1} m_{n_2}} \quad (\text{well-defined})$$

$\swarrow \mathfrak{a}\text{-filtration}$

Prop 10.22  $A = \text{noeth. } \mathfrak{a} \triangleleft A. \text{ Then}$

i)  $G_{\mathfrak{a}}(A) = \text{noeth.}$

ii)  $G_{\mathfrak{a}}(A) \cong G_{\hat{\mathfrak{a}}}(\hat{A}) \quad (\text{as graded rings})$

iii)  $M = \text{f.g. } (M_n) = \mathfrak{a}\text{-filtration.}$

$$(M_n) = \text{stable} \Rightarrow G(M) = \text{f.g. } G(A)\text{-mod.}$$

~~\*~~

$$\text{Pf: i). } \mathfrak{a} = \sum_{i=1}^s A x_i \Rightarrow \mathfrak{a}/\mathfrak{a}^2 = \sum_{i=1}^s (A/\mathfrak{a}) \cdot \bar{x}_i$$

$$\mathfrak{a}^n = \sum_{|I|=n} A x^I \Rightarrow \mathfrak{a}^n/\mathfrak{a}^{n+1} = \sum_{i=1}^s (A/\mathfrak{a}) \cdot \bar{x}^I$$

$$\Rightarrow G(A) = (A/\mathfrak{a}) [\bar{x}_1, \dots, \bar{x}_s]$$

$$\Rightarrow G(A) = \text{noeth.}$$

$$\text{ii). (10.15 iii)} \Rightarrow \mathfrak{a}^n/\mathfrak{a}^{n+1} \cong \hat{\mathfrak{a}}^n/\hat{\mathfrak{a}}^{n+1} \Rightarrow \checkmark$$

$$\text{iii). stable} \Rightarrow \exists n_0 \text{ s.t. } M_{n_0+r} = \mathfrak{a}^r M_{n_0} \quad \forall r \geq 0.$$

$$\Rightarrow G_{n_0+r}(M) = G_r(A) G_{n_0}(M) \quad \forall r \geq 0$$

$$\Rightarrow G(M) \text{ generated by } \bigoplus_{n \leq n_0} G_n(M)$$

$$\bullet M = \text{f.g.} \Rightarrow M = \text{noeth. } A\text{-mod}$$

$$\Rightarrow G_n(M) = \text{noeth. } A/\mathfrak{a}\text{-mod.}$$

$$\Rightarrow G_n(M) = \text{f.g. } A/\mathfrak{a}\text{-mod.}$$

$$\Rightarrow G(M) = \text{f.g. } G(A)\text{-mod.}$$

$$\text{想证: } A = \text{noeth} \Rightarrow \hat{A} = \text{noeth.}$$

Lem 10.23:  $\phi: A \rightarrow B$  hom. of filtered sps (i.e.  $\phi(A_n) \subseteq B_n$ ). Then

$$i) \quad G(\phi) = \text{inj.} \Rightarrow \hat{\phi} = \text{inj.} \quad G(\phi): G(A) \rightarrow G(B)$$

$$ii) \quad G(\phi) = \text{surj.} \Rightarrow \hat{\phi} = \text{surj.} \quad \hat{\phi}: \hat{A} \rightarrow \hat{B}$$

$$\text{pf: } \begin{array}{ccccccc} 0 & \rightarrow & A_n/A_{n+1} & \rightarrow & A/A_{n+1} & \rightarrow & A/A_n \rightarrow 0 \\ & & \downarrow G_n(\phi) & & \downarrow \phi_{n+1} & & \downarrow \phi_n \\ 0 & \rightarrow & B_n/B_{n+1} & \rightarrow & B/B_{n+1} & \rightarrow & B/B_n \rightarrow 0 \end{array}$$

$$\Rightarrow 0 \rightarrow \ker G_n(\phi) \rightarrow \ker \phi_{n+1} \rightarrow \ker \phi_n$$

$$\hookrightarrow \text{coker } G_n(\phi) \rightarrow \text{coker } \phi_{n+1} \rightarrow \text{coker } \phi_n \rightarrow 0$$

$$G(\phi) = \text{inj.} \stackrel{\text{inductively}}{\Rightarrow} \phi_n = \text{inj.} \Rightarrow \hat{\phi} = \varprojlim \phi_n = \text{inj.}$$

$$G(\phi) = \text{surj.} \Rightarrow \begin{cases} \phi_n = \text{surj.} \\ \ker \phi_{n+1} \Rightarrow \ker \phi_n \Rightarrow (\ker \phi_n) = \text{surj. syst.} \end{cases}$$

$$0 \rightarrow \{\ker \phi_n\} \rightarrow \{A/A_n\} \xrightarrow{\phi_n} \{B/B_n\} \rightarrow 0$$

$$\Rightarrow \hat{\phi} = \text{surj.}$$

Prop 10.24:  $A = \text{ring}$ ,  $\alpha \triangleleft A$ ,  $M = A\text{-mod}$ .  $(M_n) = \alpha\text{-filtration}$ .

Suppose  $A$   $\alpha$ -adic complete &  $M$  Hausdorff. Then

$$G(M) = \text{f.g. } G(A)\text{-module} \Rightarrow M = \text{f.g. } A\text{-mod.}$$

Pf:  $G(M) = \text{f.g.} \Rightarrow \exists$  system of homogeneous generators

$\xi_1, \dots, \xi_r$  of  $G(M)$

$$\left( \begin{array}{l} \text{assume } \deg \xi_i = n_i \\ \forall \alpha_i \in \xi_i \in M_{n_i} / M_{n_i+1} \end{array} \right)$$

$A(n) = \alpha$ -filtered  $A$ -module defined by

$$A(n)_0 \supseteq \dots \supseteq A(n)_n \supseteq A(n)_{n+1} \supseteq A(n)_{n+2} \supseteq \dots$$

$$\begin{array}{ccccccc} & \parallel & & \parallel & & \parallel & \\ & A & & A & & \alpha & \\ & & & & & \alpha^2 & \end{array}$$

$$\Rightarrow F = \bigoplus_{i=1}^r \underbrace{A(n_i)}_{A \cdot e_i} \text{ } \alpha\text{-filtered } A\text{-module.}$$

$$\Rightarrow \phi: F \rightarrow M \text{ homo. of } \alpha\text{-filtered } A\text{-modules}$$

$$e_i \mapsto \alpha_i$$

$$\Rightarrow G(\phi): G(F) \rightarrow G(M) \text{ homo. of } G(A)\text{-modules.}$$

$$\text{Construction} \Rightarrow G(\phi) = \text{surj} \Rightarrow \hat{\phi} = \text{surj}$$

$$\begin{array}{ccc}
 F & \xrightarrow{\phi} & M \\
 \alpha \downarrow \cong & & \downarrow \beta \\
 \hat{F} & \xrightarrow{\hat{\phi}} & \hat{M}
 \end{array}$$

$$\left. \begin{array}{l}
 F = \text{free} \Rightarrow \alpha = \text{ISO.} \\
 M = \text{Hausdorff} \Rightarrow \beta = \text{inj}
 \end{array} \right\} \Rightarrow \left\{ \begin{array}{l}
 \beta = \text{ISO} \\
 \phi = \text{surj} \Rightarrow M = \text{f.g.}
 \end{array} \right.$$

Cor 10.25:  $A = \alpha$ -adic complete.  $M = \text{f.g.}$  with  $\alpha$ -filtration s.t. top Hausdorff <sup>(10.1)</sup>

$$G(M) = \text{noeth. } G(A)\text{-mod.} \Rightarrow M = \text{noeth. } A\text{-mod.}$$

$$\text{pf: } \forall M' \subseteq M \text{ submodule. } M'_n := M' \cap M_n \Rightarrow \begin{cases} \alpha\text{-filtration} \\ \text{Hausdorff.} \end{cases}$$

$$\Rightarrow G(M') \subseteq G(M) \text{ f.g.}$$

$$\stackrel{G(M) = \text{noeth.}}{\Rightarrow} G(M') = \text{f.g. } G(A)\text{-module}$$

$$\stackrel{(10.24)}{\Rightarrow} M' = \text{f.g.}$$

Thm 10.26:  $A = \text{noeth. } \alpha \triangleleft A \Rightarrow \hat{A} = \text{noeth.}$

$$\text{pf. } A = \text{noeth.} \stackrel{10.22}{\Rightarrow} G_{\hat{\alpha}}(\hat{A}) = G_{\alpha}(A) = \text{noeth.} \stackrel{10.25}{\Rightarrow} \hat{A} = \text{noeth. } \square$$

Cor 10.27:  $A = \text{noeth.} \Rightarrow A[x_1, \dots, x_n] = \text{noeth.}$

28  $\text{pf: } \alpha = (x_1, \dots, x_n) \triangleleft A[x_1, \dots, x_n] \quad \square$